

IN THE UNITED STATES PATENT AND TRADEMARK OFFICERECEIVED

Kjell G.E. Bäckström et al. Applicant:

Art Unit : 1653

Examiner: D. Lukton

Serial No.: 08/736,267

: October 24, 1996

Filed Title

THERAPEUTIC PREPARATION FOR INHALATION

TECH CENTER 1600/2900

Commissioner for Patents Washington, D.C. 20231

TERMINAL DISCLAIMER UNDER 37 CFR §§3.73(b) AND 1.321(b)

Pursuant to 37 CFR §3.73(b), ASTRAZENECA AB, a Swedish corporation, certifies that it is the assignee of the entire right, title, and interest in the above-captioned application by virtue of an assignment from the inventors of the above-captioned application to AB Astra. The assignment to AB Astra was recorded in the Patent and Trademark Office at Reel 7172, Frame 0512 on September 6, 1994. AstraZeneca AB is the present name of the corporation formerly known as AB Astra. A copy of the Certificate of Registration of the corporate name, AstraZeneca AB, was recorded on January 22, 2001, at Reel 011469, Frame No. 0958. A copy of the Certificate of Registration is attached hereto. Page 3 of the Certificate of Registration lists Aktiebolaget Astra (also known as AB Astra) and Astra Aktiebolag as previous company names of AstraZeneca AB.

The undersigned has reviewed all the documents in the chain of title of the abovecaptioned application, and, to the best of undersigned's knowledge and belief, title is in AstraZeneca AB.

AstraZeneca AB also certifies that it is the successor of Astra Aktiebolag, which is the assignee of the entire right, title, and interest in U.S. Patent No. 6,436,902 (the '902 Patent), issued from USSN 09/383,590, by virtue of an assignment from the inventors to Astra Aktiebolag. A copy of that assignment was recorded in the Patent and Trademark Office at Reel 010408, Frame 0200 on November 22, 1999. The Certificate of Registration certifying the name change to AstraZeneca AB was recorded at Reel 011505, Frame 0723 on February 5, 2001. 00000033 08736267

03/27/2003 CV	JO111 00000033 08736267	
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	I haraby certify under 37 CFR \$1.8(a) t	hat this correspondence is being deposited with the United St

tates Postal Service as first class mail with sufficient postage on March 17, 2003 and is addressed to the Commissioner for Patents, Washington, D.G. 20231.

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05 FC:1814 06 FC:1814 07 FC:1814	110.00 GP 110.00 GP 110.00 GP	Typed or Printed Name of Person Signing Certificate

Applicant: Kjell G. E. Bäckström et al. Attorney's Docket No.: 06275-004001 / D 1271-7 US

Serial No.: 08/736,267

Filed: October 24, 1996

Page: 2

The undersigned has reviewed all the documents in the chain of title of the '902 Patent, and, to the best of undersigned's knowledge and belief, title is in AstraZeneca AB.

AstraZeneca AB also certifies that it is the successor of Astra Aktiebolag, which is the assignee of the entire right, title, and interest in U.S. Patent No. 5,747,445 (the '445 Patent), issued from USSN 08/583,205, by virtue of an assignment from the inventors thereof to Astra Aktiebolag. A copy of that assignment was recorded in the Patent and Trademark Office at Reel 8188, Frame 0576 on April 8, 1996.

The undersigned has reviewed all the documents in the chain of title of the '445 Patent, and, to the best of undersigned's knowledge and belief, title is in AstraZeneca AB.

AstraZeneca AB also certifies that it is the successor of Astra Aktiebolag, which is the assignee of the entire right, title, and interest in U.S. Patent No. 5,830,853 (the '853 Patent), issued from USSN 08/582,702. An assignment to Astra Aktiebolag was recorded in the Patent and Trademark Office at Reel 7892, Frame 0168 on April 8, 1996.

The undersigned has reviewed all the documents in the chain of title of the '853 Patent, and, to the best of undersigned's knowledge and belief, title is in AstraZeneca AB.

AstraZeneca AB also certifies that it is the successor of Astra Aktiebolag, which is the assignee of the entire right, title, and interest in U.S. Patent No. 6,004,574 (the '574 Patent), issued from USSN 08/617,753. An assignment to Astra Aktiebolag was recorded in the Patent and Trademark Office at Reel 8966, Frame 0574, on March 18, 1996.

The undersigned has reviewed all the documents in the chain of title of the '574 Patent, and, to the best of undersigned's knowledge and belief, title is in AstraZeneca AB.

AstraZeneca AB also certifies that it is the successor of Astra Aktiebolag, which is the assignee of the entire right, title, and interest in U.S. Patent No. 6,165,976 (the '976 Patent), issued from USSN 09/072,717, by virtue of an assignment from the inventors thereof to Astra Aktiebolag in a predecessor application, USSN 08/583,205. That assignment to Astra Aktiebolag was recorded in the Patent and Trademark Office at Reel 8188, Frame 0576, on April 8, 1996.

The undersigned has reviewed all the documents in the chain of title of the '976 Patent, and, to the best of undersigned's knowledge and belief, title is in AstraZeneca AB.

Applicant: Kjell G. E. Bäckström et al. Attorney's Docket No.: 06275-004001 / D 1271-7 US

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AstraZeneca AB also certifies that it is the successor of AB Astra, which is the assignee of the entire right, title, and interest in U.S. Patent No. 5,518,998 (the '998 Patent), issued from USSN 08/265,372, by virtue of an assignment thereof to AB Astra. The assignment was recorded in the Patent and Trademark Office at Reel 7231, Frame 0628 on September 6, 1994. The Certificate of Registration certifying the name change from Aktiebolaget Astra (i.e., AB Astra) to AstraZeneca AB was recorded at Reel 011111, Frame 0237 on September 19, 2000.

The undersigned has reviewed all the documents in the chain of title of the '998 Patent, and, to the best of undersigned's knowledge and belief, title is in AstraZeneca AB.

The undersigned is empowered to act on behalf of the assignee.

Pursuant to 37 CFR §1.321(b), and to obviate a double patenting rejection,
AstraZeneca AB hereby waives and disclaims the terminal portion of the term of the entire
patent to be granted upon the above-captioned application subsequent to the expiration date of
the '902 Patent. Further, any patent granted on the above-captioned application shall be
enforceable only for and during such period that said patent is commonly owned with the
'902 Patent.

AstraZeneca AB does not disclaim any terminal part of any patent granted on the above-captioned application prior to the expiration date of the full statutory term of the '902 Patent in the event that the '902 Patent later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321, has all claims cancelled by a reexamination certificate, or is otherwise terminated prior to expiration of its statutory term, except for the separation of legal title as stated above.

This disclaimer runs with any patent granted on the above-captioned application and is binding upon the grantee, its successors or assigns.

Pursuant to 37 CFR §1.321(b), and to obviate a double patenting rejection,
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Page

enforceable only for and during such period that said patent is commonly owned with the '445 Patent.

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Pursuant to 37 CFR §1.321(b), and to obviate a double patenting rejection, AstraZeneca AB hereby waives and disclaims the terminal portion of the term of the entire patent to be granted upon the above-captioned application subsequent to the expiration date of the '853 Patent. Further, any patent granted on the above-captioned application shall be enforceable only for and during such period that said patent is commonly owned with the '853 Patent.

AstraZeneca AB does not disclaim any terminal part of any patent granted on the abovecaptioned application prior to the expiration date of the full statutory term of the '853 Patent in the event that the '853 Patent later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321, has all claims cancelled by a reexamination certificate, or is otherwise terminated prior to expiration of its statutory term, except for the separation of legal title as stated above.

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This disclaimer runs with any patent granted on the above-captioned application and is binding upon the grantee, its successors or assigns.

A check for \$660 is enclosed. Please charge any additional fees, or make any credits, to Deposit Account No. 06-1050, referencing Attorney Docket No. 06275-004001.

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Janis K. Fraser, Ph.D., M.

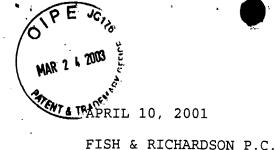
Respectfully submitted

Reg. No. 34,819

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JANIS K. FRASER 225 FRANKLIN STREET BOSTON, MA 02110-2804



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ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/22/2001

REEL/FRAME: 011469/0958

NUMBER OF PAGES: 6

BRIEF: CERTIFICATE OF REGISTRATION

S-151 85 SODERTALJE, SWEDEN

ASSIGNOR:

ASSIGNEE:

AB ASTRA

DOC DATE: 01/13/2000

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MAR 2 8 2003

TECH CENTER 1600/2900

FILING DATE: 10/24/1996

ISSUE DATE:

PATENT NUMBER:

SERIAL NUMBER: 08736267

ASTRAZENECA AB

KIMBERLY WHITE, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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APR 1 7 2001

FISH & RICHARDSON, RC. BOSTON OFFICE

Jocket No. 06275-004001

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mmissioner for Patents: Please record the attached original document(s) or copy(ies). 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): AB Astra Additional name(s) attached? ☐ Yes ☑ No AstraZeneca AB S-151 85 Södertälje 3. Nature of conveyance: Sweden Certificate of Registration Execution Date: January 13, 2000 Additional names/addresses attached? ☐ Yes ☑ No 4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s).: B: Patent No(s).: 08/736,267 Additional numbers attached?

Yes

No 5. Name/address of party to whom correspondence-concerning 6. Total number of applications/patents involved: 1 document should be mailed: JANIS K. FRASER 7. Total fee (37 CFR §3.41): \$40 Fish & Richardson P.C ☑ Enclosed 225 Franklin Street Authorized to charge Deposit Account. Boston, MA 02110-2804 8: Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050. DO NOT USE THIS SPACE , 23 9. Statement and Signature: To the best of my knowledge and bclief, the foregoing information is true and correct and any attached copy is a true copy of the original document. John T. Li Reg. No. 44,210 Name of Person Signing

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

Date of Denosit

Signature

Typed Name of Person Signing Certificate)

Total number of pages including coversheet, attachments and document: 6

M RECISTRERINGSVERKET Bolago Leiningen

ERTIFICATE OF REGISTRATION

SWEDEN

Registration number: 556011-7482

Date of registration: 1913-10-31

Company name: AstraCeneda AB

Address:

115 23 STOCKHOLM

151 88 SÖDERTÄLJE

Registered office: Södertälje

Share capital: SEK 0.054.029.452,50



BOARD OF DIRECTORS: 440802-3556 Björnsson, Björn Einar, (A), Bäckahästvägen 3, 240 13 GENARP 500523-4330 Lerenius, Per Göran, (E), Pettersbergsvägen 66, 129 40 HÄGERSTEN 430319 McKillop, Thomas Fulton Wilson, (Ξ) , (O), Flat 3, 15 Stanhope Gate, LONDON WIY 6LN, STORERITANNIEN OCH MORDIRLAND 116 20 STOCKHOLM 590228 Symonds, Jonathan, (E), 16 Moor Lane, Rickmansworth, Harfordshire, WD3 1LG, STORBRITANNIEN OCH NORDIRLAND 591026-0024 Sörensen, Pia Carina, (A), Skämbylötsvägen 6, 540 60 MARTT STYCKEBRUK 560902-0093 Wallenberg, Marcus, Vidbynäs Gård 33, 148 91 ÖSMO 390324-5714 Wilhelmsson, Claes-Erik, Strandvägen 63,

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MANAGING DIRECTOR: 420527-5938 From, Per Anders Gideon, Drottningholmsvägen 1 6tr., 112 42 STOCKHOLM

OTHER PERSONS AUTHORIZED TO SIGN ON BEHALF OF THE COMPANY: 520121-1751 Johansson, John Roland, Pilvägen 8, 610 60 TYSTBERGA 561115-2710 Johansson, Per Olof Kjell, Bränningstrandsvägen 72, 151 39 SÕDERTÄLJE 580712-5116 Linde, Carl Fredrik Johannes, Auravägen 24, 182 68 DJURSHOLM 580111-2086 Ljungqvist, Margit Inga Susanne, Solhemsvägen 13A, 141 31 HUDDINGE

CONTD.

Boiagsa siningen

ERTIFICATE OF REGISTRATION

SWEDEN

Registration number: 556011-7482

Date of registration: 1913-10-31

Company name:

AstraZeneca AB

Address:

151 85 SÖDERTÄLJE

Registered office:

Södertälje

Share capital:

SEK 2.054.029.452,50

470520-1939 Sveréus, Björn Gustaf, Hedåvägen 9, 152 51 SÖDERTÄLJE

COMPANY AUDITORS:

430319-0658 Magnusson, Bo Vilhelm, Karpvägen 36, 181 30 LIDINGÖ

COMPANY AUDITOR:

556479-9103 Deloitte & Touche Stockholm Aktiebolag Box 101 52, 121 26 STOCKHOLM-GLOBEN Principally responsible auditor: 430319-0658 Magnusson, Bo Vilhelm Karpvägen 36, 181 30 LIDINGÖ

SIGNATORY POWER:

In addition to the Board of the Directors,
Lerenius, Per Göran
ackiliop, lacmas Fulton Wilson
From, Per Anders Gideon
Linde, Carl Fredrik Johannes
individually,

or any two jointly of
Wilhelmsson, Claes-Erik
Johansson, John Roland
Johansson, Per Olof Kjell
Ljungqvist, Margit Inga Susanne
Evereus, Björn Gustaf

are entitled to sign on behalf of the company.

SECONDARY NAMES:

Astra

FINANCIAL YEAR:

Registered financial year: 0101-1231

Latest annual report submitted covers financial

period 980101-981231

CONTD.

SWEDEN

Registration number: 556011-7482

Date of registration: 1913-10-31

Company name:

AstraZeneca AB

Address:

151 85 SÖDERTÄLJE

Registered office:

Södertälje

Share capital:

SEK 2.054.029.452,50

DATE OF REGISTRATION OF CURRENT AND PREVIOUS COMPANY NAMES: 2000-01-03 AstraZeneca AB

1994-06-20 Astra Aktiebolag

1966-05-12 Aktiebolaget Astra

1913-10-31 Aktiebolaget Astra, Apotekarnas Kemiska Fabriker

SUNDSVALL 2000-01-13

Ex officio

MALIN SELLÉN

(A) = employee representative (U) = person resident outside EEA

(E) = person resident outside Sweden but within EEA

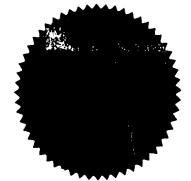
(0) = chairman of the board

I. JOHN BICKMORE HODGSON of Wilmslow Cheshire England Notary Public duly authorised admitted and swom and practising within the United Kingdom of Great Britain and Northern Ireland do hereby certify that the photostat matter hereto annexed and on which I have impressed my notarial seal is a true copy of a document the original of which was produced to

this 1: day of 12- just

2000

John Bickmore Hodgson Notary Public



Centificate

The enclosed Certificate of Registration has been issued for

AstraZeneca AB

registration number: 556011-7482

which is registered as "PUBLIKT", generally written (publ), in the Companies Register kept by this office, the Companies Department of the Patent and Registration Office, Sweden.

A Swedish company classed as "PUBLIKT" is entitled to invite the general public to subscribe for or acquire shares and other securities which the company issues.

The share capital for a company classed as "PUBLIKT" must amount to a minimum of SEK 500 000.

Sundsvall, 13th January, 2000

Trip repy certified

Bolagsavdelningen

851 81 Sundsvall

Besoksadress Badhusparken

Pastgiro 95 06 08 - 0 8 - 0 95 06 08 - 0

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